

EagleStone Wealth Advisors, Inc.
d/b/a: EagleStone Tax & Wealth Advisors

September 30, 2025

Exhibit to Form CRS

EagleStone Tax & Wealth Advisors is required to update its Form CRS when information in the Form CRS becomes materially inaccurate. This Exhibit summarizes the following material changes to the firm's Form CRS, implemented on September 30, 2025.

Former disclosure: The firm is currently engaged in a regulatory investigation, which focuses on the handling of a client complaint regarding an IAR's breach of fiduciary duty, fee practices, and management. As part of this process, the investigation may also review the firm's supervision of related business practices.

In July 2025, an IAR associated with our firm was permitted to resign during the course of a regulatory investigation conducted by the U.S. Securities and Exchange Commission (SEC). The SEC investigation concerned allegations related to fiduciary duty, fee practices, and the management of client accounts. A related civil action brought by a former client was resolved through a settlement agreement without any admission of fault or liability by the individual or the firm. Although EagleStone was named in the civil action, it is not subject to any disciplinary proceedings as a result of the regulatory investigation. The SEC is expected to conclude its investigation through a settlement agreement with the individual, and no charges are anticipated against the firm or any other EagleStone personnel.

The individual has entered into a settlement agreement with the SEC that provides for an associational bar, prohibiting future association with any investment adviser, broker-dealer, or other regulated entity. The settlement does not include an admission of fault, and the final order has not yet been issued. The firm does not expect to be subject to any disciplinary action in connection with this matter. No other EagleStone personnel are expected to be subject to disciplinary proceedings. We are providing this disclosure because we believe it is material to a client's evaluation of our advisory business and the integrity of our personnel.

Updated Disclosure: On September 8, 2025, the SEC issued an Order against James D. Warring, the firm's former CEO and founder, barring him from the industry. Mr. Warring resigned before the Order, has no role or involvement with EagleStone, and neither the firm nor any other EagleStone personnel were subject to disciplinary proceedings. You can find additional information about our investment advisory services and request a copy of the relationship summary by calling 301-917-9309 or click the link provided <https://adviserinfo.sec.gov/firm/brochure/141014>