

Tarun Mehta

**EagleStone Wealth Advisors, Inc.
d/b/a: EagleStone Tax & Wealth Advisors**

**1101 Wootton Parkway
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Rockville, MD 20852**

Telephone: 301-924-2160

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Tarun Mehta that supplements the EagleStone Tax & Wealth Advisors brochure. You should have received a copy of that brochure. Contact us at 301-924-2160 if you did not receive EagleStone Tax & Wealth Advisors's brochure or if you have any questions about the contents of this supplement.

Additional information about Tarun Mehta (CRD # 4795868) is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Educational Background and Business Experience

Tarun Mehta

Year of Birth: 1976

Formal Education After High School:

- Fordham University, JD/MBA Law, 9/1998 - 6/2002
- CUNY, Brooklyn College, BS Psychology, 9/1994 - 2/1998

Business Background:

- EagleStone Wealth Advisors, Inc. d/b/a EagleStone Tax & Wealth Advisors, Chief Compliance Officer and IAR, 2/2007 - Present
- EagleStone Wealth Advisors, Inc., President & Chief Compliance Officer, 1/2017 - Present
- EagleStone Wealth Advisors, Inc., Chief Operations Officer & Chief Compliance Officer, 1/2011 - 12/2016

Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license.

On July 28, 2023, Tarun Mehta settled a legal action in the State of Maryland where client alleged fraud, conversion and breach of fiduciary duty. This action was settled for a total amount of \$5,000,000. In order to resolve a disputed claim, and not as an admission of any fault or liability, EWA agreed to return collected fees to claimant in the amount of \$1,161,388. The remainder of the settlement amount is attributable to other entities named and included in the claim. Please note, as part of an internal self audit, claimant was offered a similar but lower amount refund/return of fees in 2020, which claimant declined to accept.

All parties mutually agreed that Mehta was to be designated a Non-Paying Defendant party to the settlement and has no payment obligation towards the final agreed upon amount and payment schedule.

Item 4 Other Business Activities

Tarun Mehta is an President & Chief Compliance Officer of EagleStone Wealth Advisors, Inc., a registered investment adviser. When appropriate, Mr. Mehta may recommend that you use the investment advisory services of EagleStone Wealth Advisors, Inc.. If you utilize the advisory services of Mr. Mehta through EagleStone Wealth Advisors, Inc., he may receive additional fees or other compensation in his capacity as an investment adviser representative. These fees would be in addition to any fees charged for the advisory services provided through EagleStone Tax & Wealth Advisors.

Item 5 Additional Compensation

Refer to the *Other Business Activities* section above for disclosures on Mr. Mehta's receipt of additional compensation as a result of his other business activities.

Also, refer to the *Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations* section(s) of EagleStone Tax & Wealth Advisors's firm brochure for additional disclosures on this topic.

Item 6 Supervision

As the Chief Compliance Officer and IAR of EagleStone Tax & Wealth Advisors, Tarun Mehta supervises the advisory activities of our firm. Tarun Mehta can be reached at 301-917-9309.